PTO/SB/21 (02-04) Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademark Offico; U.S. DEPARTMENT OF COMMERCE are required to respond to a collection of information unless it displays a valid OMB control number. Under the Paserwork Reduction Act of 1995, no persons Application Number 09/998,051 11/29/2001 TRANSMITTAL Filing Date FORM Andreas Wichern First Named Inventor Art Unit 2819 (to be used for all correspondence after Initial (filing) Examiner Name Nguyen, Linh V Attorney Docket Number **DE 000214** Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication X Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board x Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to Group X Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer **Extension of Time Request** (dentify below): Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement **Certified Copy of Priority** Document(s) MAR 1 0 2004 Response to Missing Parts/ OFFICIAL Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Richard P. Main Individual name Signature Date March 10, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Richard B. Main Signature March 10, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application, Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1460.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

400S II AAM

BECEINED

2004 16:40 TRADEN

1204

1205

2204

2205

PTO/SB/17 (10-03)
Approved for use through 07/31/2008, CMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it displeys a valid CMB control number. Complete if Known FEE TRANSMITTAL 09/998,051 **Application Number** 11/29/2001 Filing Date for FY 2004 Andreas Wichern First Named Inventor Effective 10/01/2003. Patent fees are aubject to annual revision. Nguyen, Linh V Examiner Name Applicant claims small entity status. See 37 CFR 1.27 2819 110 TOTAL AMOUNT OF PAYMENT DE 000214 Attorney Docket No. METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) Check X Credit card 3. ADDITIONAL FEES Other None <u>Large Entity , Small Entity</u> Deposit Account; Fee (\$) Fee Code Fee Description Deposit Code Fee Paid Account 2051 1051 130 65 Surcharge - late filing fee or cath Number Deposit Surcharge - late provisional filing fee or 1052 50 2052 25 Account cover sheet Name 130 Non-English specification 1053 130 1053 The Director is authorized to: (check all that apply) 1812 2.520 1812 2,520 For filing a request for ex parte reexamination Charge fee(s) indicated below Credit any overpayments 920\* Requesting publication of SIR prior to 1804 920 1804 Charge any additional foe(s) or any underpayment of fee(s) Examiner action Charge fee(s) indicated below, except for the filing fee 1805 1.840 1805 1,840\* Requesting publication of SIR after to the above-identified deposit account. Examiner action 1251 110 2251 Extension for reply within first month **FEE CALCULATION** 110 210 Extension for reply within second month 1252 420 2252 1. BASIC FILING FEE 475 Extension for reply within third month 1253 950 2253 arge Entity Small Entity Fee Description Fee Paid Fee Fee 1254 1,480 2254 740 Extension for reply within fourth month 1,005 Extension for reply within fifth month 1001 770 2001 385 1255 2,010 2266 Utility films fee 1002 340 2002 170 Dosign filing fee 1401 330 2401 165 Notice of Appeal 1003 530 2003 165 Filing a brief in support of an appeal 265 Plant filing fee 1402 330 1004 770 2004 385 145 Request for oral hearing Raksuc filing fee 1403 290 1005 160 2005 1481 1,510 Provisional filing fee 1451 1,510 Petition to institute a public use procooding 1452 110 2452 55 Petition to rovivo - unavoldable SUBTOTAL (1) (\$) 1453 1.330 2453 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,330 2501 665 Utility Issue (ec. (or reissue) from Fee Paid Extra Claims 1602 480 240 Deelgn leaue fee **Total Claims** 1503 640 2503 320 Plant Issue fee Independent 1460 130 1480 130 Petitions to the Commissioner Multiple Dependent 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) Small Entity Large Entity 1806 180 1808 180 Submission of Information Disclosure Stmt Fee Fee Code (\$) Fee Fee Code (\$) Fee Description 40 Recording each patent assignment per 802 t 40 8021 property (times number of properties) 1202 Claims in excess of 20 18 2202 385 Filing a submission after final rejection (37 CFR 1.129(a)) 770 1809 2809 1201 86 2201 43 Independent claims in excess of 3 1203 280 2203 145 Multiple dependent claim, if not paid 385 For each additional invention to be 1810 770 2810

"or number previously paid, if greater; For Relsaues, see above Reduced by Basic Filing Fe		"Reduced by Basic Filing Fee Paid	SUBTOTA	L (3) (\$) 110
SUBMITTED BY		(Complete	(Complete (# applicable))	
Nата (Ринитуре)	Richard B-Main	Registration No. 33258	Telephone	650-575-4624
Signature	D Z Z Z Z L		Date	03/10/2004

900

Other fee (specify)

1801 770

1802

2801

1802

Reissue Independent claims over original patent

end over original patent

SUBTOTAL (2)

"" Reissue claims in excess of 20

(\$)

examined (37 CFR 1.129(b))

900 Request for expedited examination

of a design application

385 Request for Continued Examination (RCE)

WARNING: information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450. Alexandria VA 22312-1450. DO NOT SEND FEES OF COMPLETED ECOMS TO THIS ADD PAGE 3/18 \* RCVD AT 3/10/2004 6:38:11 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-1/3 \* DNIS:8729306 \* CSID:6509482009 \* DURATION (mm-ss):04-48